

# Guideline for Pain and/or Distress in Laboratory Animals: Responsibilities, Recognition, and Intervention

## Introduction

It is the ethical and legal obligation of all personnel involved with the use of animals in research to reduce or eliminate their pain and/or distress whenever such actions do not interfere with the research objectives. The Institute/Center Animal Care and Use Committee (IC ACUC) has the delegated responsibility and accountability for ensuring that all animals under their oversight are used humanely and in accordance with Federal Regulations and policies.<sup>2,8,11,21,23,26,32,33,36,38</sup> Keys to fulfilling the responsibilities for both the Principal Investigator (PI) and the IC ACUC are to:

- understand the legal requirements,
- recognize pain and/or distress in animals,
- relieve or minimize the pain and/or distress appropriately; and
- establish humane endpoints.

## Regulatory Requirements and IC ACUC Responsibilities

The IC ACUC must ensure that all aspects of the Animal Study Proposal (ASP) that may cause more than transient pain and/or distress are addressed; alternatives<sup>2,3,45</sup> to painful or distressful procedures are considered; that methods, anesthetics, and analgesics to minimize or eliminate pain and/or distress are included when these methods do not interfere with the research objectives; and that humane endpoints have been established for all situations in which more than transient pain and/or distress cannot be avoided or eliminated. Whenever possible, death or severe pain and/or distress should be avoided as endpoints. A written scientific justification is required to be included in the ASP for any more than transient painful and/or distressful procedure that cannot be relieved or minimized.

The following items should be considered when developing and evaluating justifications for studies involving increased levels of pain and/or distress:

- Description of experimental procedure (including conditioning/training plan, when applicable);
- Assurance that less painful/distressful procedures were considered, and, if available, why they were not used;
- Description of monitoring plan for during and after the experiment (including monitoring parameters, monitoring schedule, and assignment of monitoring duties);
- Description of exclusion criteria;
- Description of anticipated clinical effects;
- Description of interventions to minimize pain/distress, including both pharmacological and non-pharmacological methods;
- Description of clear humane endpoints; and
- Assignment of endpoint decision-making responsibilities.

The obligation to reduce pain and/or distress **does not end** with the review of the ASP. It is the responsibility of the animal care staff, the research staff, veterinarians, and the IC ACUC to continue to monitor animals for pain, distress, illness, morbidity or mortality throughout the research study.

If unexpected pain and/or distress occurs, and is more than an isolated incident, it is the PI's responsibility to

submit an amendment delineating the unexpected outcome and the proposed resolution (e.g., administration of analgesics, etc.). Alternatively, the PI could justify the need for unrelieved pain and/or distress in the amendment, and in the case of regulated species, in a Column E Justification form.

### **Recognition of Pain and/or Distress**

Animals must be monitored by trained individuals for pain and/or distress as appropriate for the species, condition, and procedure. Critical to the assessment of the presence or absence of pain and/or distress is the ability to distinguish between normal and abnormal animal behavior. This is especially true when dealing with species that often exhibit pain and/or distress with only subtle changes in their behavior<sup>46</sup> (see Table 1). Therefore, it is critical that the individuals assessing an animal be trained in the species-specific signs of pain and distress, as well as be knowledgeable of the potential outcomes of the procedure, surgery or treatments administered to the animal<sup>40,42</sup>. Pain and distress scoring is a method to convert subjective animal observations<sup>28,30,47</sup> into an objective scoring system<sup>48,49</sup> which some have found to be helpful in assessing animal behavior<sup>24,44,50,60</sup>.

Whenever more than transient pain and/or distress is anticipated, preemptive measures should be taken to minimize or prevent the development of pain and/or distress. Following the implementation of preemptive or palliative measures, animals must be monitored<sup>1,26</sup> to ensure the efficacy of the measures taken and determine if or when additional treatment will be necessary. The extent and frequency of monitoring will depend on the level of post-surgical/procedural pain and/or distress anticipated and the chosen intervention strategy(s). For example, animals undergoing a procedure known to produce no more than minimal/transient pain and/or distress may be adequately monitored by the daily observation of a trained animal caretaker. Whereas the monitoring of an animal undergoing a procedure known to result in severe pain and/or distress may require more frequent monitoring by a team of trained individuals (e.g., trained animal care staff, technicians, veterinarians, investigators, etc.). Animals undergoing pilot studies or procedures new to the investigator or facility may also require a higher frequency of monitoring and a team approach.

It is ultimately the responsibility of the PI and the personnel conducting the procedure to ensure the timely and adequate identification, monitoring, and documentation of the animals undergoing potentially painful and/or distressful procedures. Investigators may request the assistance of institute and facility veterinary and technical personnel when monitoring their animals, but all individual(s) responsible for monitoring an animal must be identified prior to conducting the procedure and their accountability clearly delineated and accepted.

Animals should be observed a minimum of once daily or more often based on professional judgment and the research being conducted. The animals should be monitored for expected and unexpected signs of more than transient pain and/or distress and, if observed, appropriate intervention strategies implemented (e.g., non-pharmacological approaches, analgesics, anesthetics, euthanasia, etc.), unless the withholding of treatment is scientifically justified. Observations and actions taken to relieve pain and/or distress must be documented.

### **Intervention Strategies**

Strategies for the management of pain and/or distress<sup>11,38</sup> may include non-pharmacological considerations (e.g., modified housing and husbandry practices, dietary modifications,<sup>11,38</sup> desensitization, and acclimation strategies, etc.), pharmacological interventions<sup>52</sup>, or euthanasia.<sup>51</sup> The chosen strategy will vary with the species, the procedure(s) being performed, duration of action needed, route of administration preferred, degree and type of analgesia required, and research being conducted. It is strongly suggested that PIs consult their IC veterinarian during the development of an ASP to develop

intervention and endpoint strategies (which can be tabular), prior to its submission to the IC ACUC. This approach has been demonstrated to expedite the ASP approval process.

Excellent resources<sup>3,4,34</sup> and formularies<sup>9,20,25</sup> are available which provide extensive information on the recognition and alleviation of pain and/or distress in laboratory animals (See Plumb Veterinary Drug Handbook<sup>37</sup> and other references<sup>12-17,27,31</sup>, below). These resources, coupled with trained and skilled animal care personnel, and the professional judgment of IC and animal facility veterinarians, provide each investigator and their IC ACUC with powerful tools for the recognition and alleviation of pain and/or distress in laboratory animals.

Preemptive measures should be taken to minimize or prevent the development of pain and/or distress. For example, a skilled surgeon can often minimize tissue trauma which in turn minimizes post-operative pain and/or distress. The use of ketamine or opioids preemptively, even in low doses has been demonstrated to prevent the development of some forms of pain<sup>54</sup>. In addition, the use of a single dose of a non-steroidal anti-inflammatory agent (NSAID), sustained-release formulations,<sup>13,53</sup> or other analgesic agent can have a positive effect on the speed with which animals return to normal behavior.<sup>16-19</sup> It has been repeatedly demonstrated in humans that the provision of effective analgesia reduces the time taken for post-operative recovery<sup>22,25</sup>

There are also many pharmacological intervention strategies for the management of pain and distress. Traditional analgesics include local or regional anesthetics, opioids and NSAIDs. Using two or more of classes of these analgesics together<sup>35</sup> or combining these analgesics with nontraditional analgesics such as N-Methyl-D-aspartate (NMDA) antagonists,<sup>39,43</sup> alpha<sub>2</sub>-agonists,<sup>55,56</sup> tramadol<sup>7,52,57,58</sup> and even the antiepileptic drug gabapentin<sup>29,52,59</sup> have been shown in both human and veterinary patients to enhance analgesia and allow a reduction in the use of more powerful analgesics. This approach is called multimodal analgesia.<sup>22,25,31,35</sup> Multimodal analgesia, along with additional medications to reduce discomfort or distress, (e.g. anti-nausea drugs such as maropitant)<sup>61</sup> enhance animal wellbeing

For procedures in which the pain intensity is anticipated to be high, techniques such as constant rate infusions of local anesthetics and or opioids either systemically, locally at the surgical site or via an epidural catheter and transdermal preparations of drugs provide uninterrupted analgesia and are being used successfully in larger laboratory animals.<sup>5,6</sup> The analgesic regimen chosen should always be made in consultation with the veterinarian.

### **Summary**

The relief of pain and/or distress in research animals is ethically sound, humane, and promotes good science. The establishment of clear lines of responsibility coupled with appropriate endpoints, monitoring and intervention strategies are key to the prevention, minimization and/or alleviation of pain and distress in laboratory animals. Several excellent references and formularies are available to the researcher, veterinarian, and husbandry personnel to facilitate their ability to recognize and modulate pain and/or distress in laboratory animals. Experience has demonstrated that a dynamic, interactive team approach to the recognition and alleviation of pain and/or distress in laboratory animals yields results that protect animal welfare while promoting good science.

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**TABLE 1: POTENTIAL SIGNS ASSOCIATED WITH PAIN OR DISTRESS IN RATS, MICE AND RABBITS**

<b>Potential Signs</b>	<b>Mice</b>	<b>Rats</b>	<b>Rabbits</b>
Decreased Food and Water Consumption	X	X	X
Weight loss	X	X	X
Self-imposed isolation/hiding	X	X	X
Self-mutilation, gnawing at limbs	X	X	X
Rapid Breathing	X	X	X
Opened-Mouth Breathing	X	X	X
Abdominal Breathing	X	X	X
Grinding Teeth		X	X
Biting/Growling/Aggression		X	X
Increased/Decreased Movement	X	X	X
Unkempt Appearance (Erected, Matted, or Dull Haircoat)	X	X	X
Abnormal Posture/Positioning (e.g., Head-pressing, Hunched Back)	X	X	X
Restless Sleep			X
Tearing (including Porphyria), Lack of Blinking Reflex		X	X
Dilated Pupils			X
Muscle Rigidity, Lack of Muscle Tone	X	X	X
Dehydration/Skin Tenting/Sunken Eyes	X	X	X
Twitching, trembling, tremor	X	X	X
Vocalization (Rare)	X	X	X
Redness or Swelling Around Surgical Site	X	X	X
Increased Salivation	X	X	X